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EXAMINER

TRAN, DZUNG D

ART UNIT	PAPER NUMBER
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2638

DATE MAILED: 08/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/941,557

Applicant(s)

BAKER ET AL.

Examiner

Dzung D. Tran

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 April 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-162 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-162 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Specification

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-8, 10, 12-14, 24-41, 44-51, 53, 55-58, 68-85, 88, 89, 92, 95, 97, 98, 101-107, 109, 114, 115, 118-123, 126-132, 134, 139-148 and 150 are rejected under 35 U.S.C. 102(b) as being anticipated by Acton et al. US patent no. 5,544,319.

Regarding claim 1, Acton discloses a system for coupling set of plurality nodes that memory coupled to pass write only data memory to memory via a data link that further includes an optical fiber controller coupled to each data link comprising:

a memory controller 1 (col. 2, lines 54-55);

at least one memory storage device from the node 6 (col. 2, lines 44-48); and

an optical path 4 (col. 2, lines 59-60) coupling said memory controller 1 with said at least one memory storage device from the node 6 (col. 2, lines 44-48) for exchanging data between said controller and said at least one memory storage device (e.g., figure 1 clearly shown the data is exchanged between said controller 1 and said at least one memory storage device from the node 6 over the optical path 4).

Regarding claims 2 and 3, Acton discloses controller 1 exchange read/write data to said at least one memory device through said optical path 4 (col. 2, lines 48-60).

Regarding claim 4, Acton discloses optical path 4 includes at least one optical link of read and write data (abstract, col. 2, lines 48-51).

Regarding claim 5, Acton discloses optical path 4 includes at least one optical link for address data transmitted from said controller to said at least one memory storage device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claim 6, Acton discloses optical path 4 includes one optical link for command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claim 7, Acton discloses optical path 4 includes an optical link for transmission a clock signal (col. 9, lines 50- 51).

Regarding claim 8, Acton discloses optical path 4 includes an optical link for transmission control data (figure 4, col. 6, line 24).

Regarding claims 102 and 127, Acton discloses controller receives data from said at least one memory storage device through said optical path 4 (col. 2, lines 48-60).

Regarding claims 103 and 128, Acton discloses data includes at least one of read and write data (abstract, col. 2, lines 48-51).

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Regarding claims 104 and 129, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claim 105 and 130, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 106 and 131, Acton discloses data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 107 and 132, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 10, 13, 109 and 134, Acton discloses transmitter 22 (same as an electro-optical converter) for converting an electrical signal output from said controller and memory storage device to an optical signal for transmission on said optical path 4.

Regarding claims 12 and 14, Acton discloses receiver 19 (same as an electro-optical converter) for converting an optical signal on said optical path 4 to an electrical signal and transmitting said electrical signal to said controller 1 and memory storage device (col. 2, lines 44-48).

Regarding claims 24, 68, 114 and 139, Acton discloses at least one memory device is located memory coupled system (e.g. same as a memory module) (col. 2, lines 44-48).

Regarding claims 25, 69, 115 and 140, Acton discloses the bus 5 of each memory coupled system is connected to an optical fiber 4 to memory coupling system controller (col. 2, lines 48-50).

Regarding claims 26-28 and 70-72, Acton discloses receiver 19 (same as a wavelength sensing mechanism) connect to controller 1.

Regarding claim 44, Acton discloses memory module comprises an electro-optical converter 19 of figure 2 for connecting optical data from said optical path 4 to electrical signals for said at least one memory device from the node 6 (col. 2, lines 44-48).

Regarding claims 29, 73 and 76, Acton discloses in figure 1, a single optical path 4 between said controller 1 and at least one memory device 5 for exchanging at least read/write data (abstract, col. 2, lines 48-51) present on a plurality of electrical paths (figure 3) between said controller 1 and at least one memory storage device 5 (col. 3, lines 31-47).

Regarding claim 30, 34, 74, 78, 119 and 144, Acton discloses single optical path 4 further arranged and configured to exchange data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 31, 35, 75, 79, 120 and 145, Acton discloses single optical path 4 further arranged and configured to exchange data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

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Regarding claims 32, 36, 37, 80 and 81, Acton discloses single optical path 4 further arranged and configured to exchange data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 33, 77, 118 and 143, Acton discloses in figures 2 and 3, data includes read/write data which originates on a plurality of electrical paths, said optical path 4 comprising a plurality of discrete optical guides respectively associated with said electrical path (col. 3, lines 22-30).

Regarding claims 38 and 82, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 39, 83, 121 and 146, Acton discloses in figure 1, controller 1, at least one memory device 5, and optical path 4 are all integrated on the same die.

Regarding claims 40, 84, 122 and 147, Acton discloses in figure 1, a processor (col. 2, line 47) for communicating with said at least one memory device, wherein said controller 1, at least one memory device, processor, and optical path are all integrated on the same die (figure 1).

Regarding claims 41, 123 and 148, Acton discloses a processor (col. 2, line 47) for communicating with said at least one memory device from the node 6 (col. 2, lines 44-48), wherein said, processor and said at least one memory device are provided on separate dies and communicate via said optical path 4.

Regarding claim 45, Acton discloses a fiber optic coupling system, comprising:
a processor (col. 2, line 47);

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a memory system (e.g. memory coupled system and fiber to memory coupling system controller combined together) connected to said processor, said memory system comprising:

- a memory controller 1;
- at least one memory storage device from the node 6 (col. 2, lines 44-48); and
- an optical path 4 coupling between said memory controller 1 and said at least one memory storage device from the node 6 (col. 2, lines 44-48) for optically exchanging data between said controller and said at least one memory storage device (see figure 1).

Regarding claims 46 and 47, Acton discloses controller 1 for exchange data to and from said at least one memory storage device from the node 6 (col. 2, lines 44-48) through said optical path 4 (see figure 1).

Regarding claim 48, Acton discloses optical path 4 includes at least one optical link for exchange of read and write data (abstract, col. 2, lines 48-51).

Regarding claim 49, Acton discloses optical path 4 includes an optical link for address data transmitted from said controller to said at least one memory storage device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claim 50, Acton discloses optical path 4 includes an optical link for command data transmitted from said controller to said at least one memory storage device (col. 16, lines 20-22).

Regarding claim 51, Acton discloses optical path 4 includes an optical link for transmission a clock signal (col. 9, lines 50- 51).

Regarding claim 52, Acton discloses optical path 4 includes an optical link for transmission control data (figure 4, col. 6, line 24).

Regarding claims 54 and 57, Acton discloses optical transmitter 22 (same as an electro-optical converter) for converting an electrical signal output from said controller and memory device to an optical signal for transmission on said optical path 4.

Regarding claims 56, 58 and 88, Acton discloses optical receiver 19 (same as an electro-optical converter) for converting an optical signal on said optical path 4 to an electrical signal and transmitting said electrical signal to said controller 1 (col. 2, lines 44-48).

Regarding claims 89, 92, 101 and 126, Acton discloses optical transmitter 22 (same as an electro-optical converter) comprising:

- at least one input (input from LATCH 18, 20) arranged and configured to receive an electrical data signal from a memory controller;

- at least one device (e.g. transmitter 22) for converting said data signal to an optical signal and at least one optical output (output to fiber 4) for transmitting said optical signal into an optical path 4 coupled to a memory storage device from the node 6 (col. 2, lines 44-48).

Regarding claims 95 and 98, Acton discloses optical receiver 19 (same as an electro-optical converter) at least one input (input from fiber 4) for receiving a optical data signal from an optical path 4; at least one electro-optical converter (e.g. receiver 19) for converting said received data signal to an electrical signal and at least one electrical output (output to LATCH 15, 17) for transmitting said output signal to an

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electrical path of a memory controller 1 or memory device from the node 6 (col. 2, lines 44-48).

Regarding claims 39, 83, 84, 121, 122, 146 and 147, Acton discloses processor (col. 2, line 47), controller 1, at least one memory device (col. 16, lines 20-22) and optical path 4 are all integrated on the same die (see figure 1).

Regarding claims 41, 85, 123 and 148, Acton discloses processor (col. 2, line 47) and at least one memory device (col. 16, lines 20-22) are provided on separate dies and communicate via said optical path 4 (see figure 1).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Fee US Patent no. 6,658,210.

Regarding claims 9, 52, 108 and 133, as per claims above, Acton discloses all the limitations except for optical path comprises a plurality of multiplexed optical channels, said data being transmitted over said multiplexed optical channels. Fee discloses a WDM optical system comprising a bi-directional optical fiber has a plurality

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wavelengths to carry information (abstract) and data being transmitted over multiplexed optical channels (e.g. WDM, see col. 2, lines 47-55). At the time of the invention was made, it would have been obvious to a person of ordinary skill in the art to incorporate WDM coupler for multiplexing and de-multiplexing optical signals of Fee in the system of Acton. One of ordinary skill in the art would have been motivated to do this since Wavelength Division Multiplexing Multiplex/Demultiplex coupler offers advantages of allowing the optical signals transmits back and forth over an bi-directional optical link and allow multi-wavelengths to communicate via single fiber or wave guide.

Regarding claims 15, 59, 111, 112, 136 and 137, the combination of Acton and Fee discloses a multiplexer/demultiplexe (220 of figure 3 of Fee) associated with said controller (1 of figure 1 of Acton) for multiplexing said optical channels, and associated with said at least one memory device (5 of figure 1 of Acton) for demultiplexing said multiplexed optical channels.

Regarding claims 16 and 60, the combination of Acton and Fee discloses a multiplexer/demultiplexe (226 of figure 3 of Fee) associated with said at least one memory device (5 of figure 1 of Acton) for multiplexing optical channels and providing multiplexed optical channels to said optical path 4 and associated with said memory controller (1 of figure 1 of Acton) for demultiplexing said multiplexed optical channels.

Regarding claims 17, 61, 113 and 138, the combination of Acton and Fee discloses a multiplexer/demultiplexe (220, 226 of figure 3 of Fee) located on each side of said optical path.

Regarding claims 18 and 62, Acton discloses data includes at least one of

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read and write data (abstract, col. 2, lines 48-51).

Regarding claims 19 and 63, Acton discloses data includes command data transmitted from said controller to said at least one memory device (col. 16, lines 20-22).

Regarding claims 20 and 64, Acton discloses data includes address data transmitted from said controller to said at least one memory device (figure 3, col. 3, line 49, col. 5, lines 54-62).

Regarding claims 21 and 65, Acton discloses data includes a clock signal (col. 9, lines 50- 51).

Regarding claims 22 and 66, Acton discloses data includes control data (figure 4, col. 6, line 24).

Regarding claims 23 and 67, Acton discloses electrical paths connected between said controller 1 and said at least one memory device 5 for passing data between said controller and memory device (see figure 3).

Regarding claims 152-155, 156-157, 160 and 161, Fee discloses multiplexed optical channels use WDM (see col. 2, lines 47-55).

Regarding claims 42, 43, 86, 87, 124, 125, 149 and 150, Acton discloses processor (col. 2, line 47) and at least one memory device (col. 16, lines 20-22) are provided on separate dies and communicate via said optical path 4 (see figure 1). However, having the separate dies in a common package or separated package is merely an engineering design choice.

Regarding claims 154, 158 and 162, transmitting compressed data is well known in the art.

5. Claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155 and 159 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Copeland et al. US Patent no. 6,782,209.

Regarding claims 97 and 100, Acton discloses all the limitations and further discloses optical receiver 19. However, Acton does not specific disclose optical receiver 19 is a photodiode. Copeland discloses an optical receiver 42 includes a photodiode (see col. 8, lines 25-26). Since it well recognize in the art that an optical receiver include a photodiode, therefore, if it is not inherent, it would have been obvious to replace the optical receiver 19 of Acton with the optical receiver 42 includes a photodiode of Copeland for converting an optical signal on said optical path 4 to an electrical signal, thus it provide the wavelength or power information to the controller for adjusting the wavelength or power.

Regarding claims 91 and 94, Copeland discloses transmitter 18 include laser optical source (see col. 4, lines 15-16, col. 6, lines 20-21).

Regarding claims 11, 54, 72, 90, 93, 96, 99, 110, 116, 117, 135 and 142, Copeland discloses wavelength adjustable (col. 3, lines 19-22).

Regarding claims 151, 155 and 159, Copeland discloses multiplexed optical channels use TDM (see col. 1, line 59).

Response to Arguments

6. Applicant's arguments filed on 05/09/2005 have been fully considered but they are not persuasive.

A Rejection of claims 1-8, 10, 12-14, 24-41, 44-51, 53, 55-58, 68-85, 88, 89, 92, 95, 97, 98, 101-107, 109, 114, 115, 118-123, 126-132, 134, 139-148 and 150 are rejected under 35 U.S.C. 102(b) as being anticipated by Acton et al. US patent no. 5,544,319.

Applicant argues Acton does not disclose or suggest a memory system that includes "an optical path coupling said memory controller with said at least one memory storage device for exchanging data between said controller and said at least one memory storage device" in claims 1 and 45. However, Acton clearly discloses an optical path 4 (col. 2, lines 59-60) coupling said memory controller 1 with said at least one memory storage device from the node 6 (col. 2, lines 44-48) for exchanging data between said controller and said at least one memory storage device (e.g., figure 1 clearly shown the data is exchanged between said controller 1 and said at least one memory storage device from the node 6 over the optical path 4). Examiner understood that Acton does not disclose an optical path 4 **directly** coupling said memory controller 1 with said at least one memory storage device from the node 6 for exchanging data between said controller and said at least one memory storage device

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Applicant further argues Acton does not disclose or suggest "a converter that has one optical output arranged and configured to transmit said optical signal into optical path coupled to a memory storage device" in claim 89. However, Acton clearly discloses at least one device (e.g. transmitter 22) for converting said data signal to an optical signal and at least one optical output (output to fiber 4) for transmitting said optical signal into an optical path 4 coupled to a memory storage device from the node 6 (col. 2, lines 44-48).

In claims 95 and 98, Applicant argues Acton does not disclose or suggest "at least one input arranged and configured to receive an optical data signal from an optical path coupled to a memory storage device". However, Acton discloses at least one input (input from fiber 4) for receiving a optical data signal from an optical path 4 coupled to memory storage device from the node 6 (col. 2, lines 44-48).

In claims 101 and 126, Applicant argues Acton does not disclose or suggest "transmitting said optical signal over an optical path to memory storage device". However, Acton clearly discloses at least one device (e.g. transmitter 22) for converting said data signal to an optical signal and at least one optical output (output to fiber 4) for transmitting said optical signal into an optical path 4 coupled to a memory storage device from the node 6 (col. 2, lines 44-48).

B. Rejection of claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 are rejected under 35 U.S.C.

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103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Fee US Patent no. 6,658,210.

Applicant argues that the claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 is depend on claims 1, 45, 101 and 126 and since claims 1, 45, 101 and 126 is patentable over Acton et al., therefore, claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 is patentable over Acton et al.. However, the rejection of independent claims 1, 45, 101 and 126 is still outstanding as examiner explained above, thus, the rejection of claims 9, 15-23, 42, 43, 52, 59-67, 86, 87, 108, 111-113, 124, 125, 133, 136-138, 149, 152-154, 156-158 and 160-162 is still outstanding.

C,. Rejection of claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155 and 159 are rejected under 35 U.S.C. 103(a) as being unpatentable over Acton et al. US patent no. 5,544,319 in view of Copeland et al. US Patent no. 6,782,209.

Applicant argues that the claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155 and 159 is depend on claims 1, 45, 89, 92, 95, 98, 101 and 126 and since claims 1, 45, 89, 92, 95, 98, 101 and 126 is patentable over Acton et al., therefore, claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155, 159 and 162 is patentable over Acton. However, the rejection of independent claims 1, 45, 89, 92, 95, 98, 101 and 126 is still outstanding as examiner

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explained above, thus, the rejection of claims 11, 54, 72, 90, 91, 93, 94, 96, 99, 100, 110, 116, 117, 135, 142, 151, 155, 159 and 162 is still outstanding.

In response to applicant's argument that there is no suggestion to combine the references, the examiner recognizes that obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988) and *In re Jones*, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). In this case, the motivation is based in the knowledge generally available to one of ordinary skill in the art.

Conclusion

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dzung D Tran whose telephone number is (571) 272-3025. The examiner can normally be reached on 9:00 AM - 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kenneth Vanderpuye, can be reached on (571) 272-3078. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Dzung Tran
08/03/2005



KENNETH VANDERPUYE
PRIMARY EXAMINER